

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

04/27/2001 EFLORES 00000011 09841582

01 FC:101	710.00 OP
02 FC:102	80.00 OP

04/24/01

04-25-01

A

UTILITY  
PATENT APPLICATION  
TRANSMITTALOnly for new non-provisional applications under 37 CFR  
1.53(b))

Attorney Docket No.

09792909-4979

First Named Inventor or Application Identifier

Kazuo Nishiyama

Express Mail Label No: EL281360996US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231JC929 U.S. PTO  
09/841582

04/24/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application  
contents.

1. ☒ Specification Total Pages 35
2. ☒ Drawing(s) (35USC 113) Total Pages 19
3. ☒ Declaration and Power of Attorney Total Pages 3
- a. ☒ Unexecuted(original or copy)
- b. ☐ Copy from prior application (37CFR 1.63(d))  
(for continuation/divisional with Box 14  
completed)
- [Note Box 4 Below]
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
Inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box 3b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

## ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
11. ☐ Small Entity Statement filed in prior Application,  
Statement(s) Status still proper and desired
12. ☒ Certified copy of Japanese priority document No.  
P2000-122112 filed April 24, 2000

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No:

## CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$710.00
TOTAL CLAIMS		20		\$18.00	0.00
INDEPENDENT CLAIMS		4	1	80.00	80.00
	ANY MULTIPLE DEPENDENT CLAIMS? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			270.00	0.00
				TOTAL FEE	\$790.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$790.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal  
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Date: April 24, 2001

SIGNATURE Christopher P. Rauch  
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April 24, 2001

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Washington, D.C.



Assistant Commissioner of Patents  
Washington, D.C. 20231

RE: New U.S. Application for Letters Patent entitled  
"CHIP-LIKE ELECTRONIC COMPONENTS, A METHOD OF  
MANUFACTURING THE SAME, A PSEUDO WAFER THEREFOR AND A  
METHOD OF MANUFACTURING THEREOF"  
Applicant(s) Kazuo Nishiyama, et al.  
Attorney Docket No. 09792909-4979

Dear Sir

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application,  
including 20 claims (4 independent), 19 sheets of drawings (Figs. 1A-14) and the filing fee of  
\$790.00 on behalf of

KAZUO NISHIYAMA, HIROSHI OZAKI, YUJI TAKAOKA and TERUO  
HIRAYAMA

and request that the application be assigned a serial number and filing date pursuant to the  
provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL

*Christopher P. Rauch*

By:

Christopher P. Rauch

Enclosures

EXPRESS MAIL #EL281360996US

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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Date of Deposit: April 24, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Applicant(s): Kazuo Nishiyama, Hiroshi Ozaki, Yuji Takaoka and Teruo Hirayama

Attorney Docket No. 09792909-4979

\$790.00 filing fee (20 claims - 4 independent)

Drawings (19 sheets - Figs. 1A-14)

Unexecuted Declaration

Letter Under Rule 37

Certified copy of Japanese priority document No. P2000-122112

Return postcard

  
\_\_\_\_\_  
Signature of Person Mailing Application and Fees

RECEIVED  
MAR 29 2001  
FBI - NEW YORK